



Joint Discrete Approximation of a Pair of Analytic Functions by Periodic Zeta-Functions

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Abstract. In the paper, the problem of simultaneous approximation of a pair of analytic functions by a pair of discrete shifts of the periodic and periodic Hurwitz zeta-function is considered. The above shifts are defined by using the sequence of imaginary parts of non-trivial zeros of the Riemann zeta-function. For the proof of approximation theorems, a weak form of the Montgomery pair correlation conjecture is applied.

Keywords: Hurwitz zeta-function, non-trivial zeros of the Riemann zeta-function, periodic zeta-function, periodic Hurwitz zeta-function, universality.

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1 Introduction

In the paper, we consider the approximation of a pair of analytic functions by shifts of the periodic and periodic Hurwitz zeta-functions involving imaginary parts of non-trivial zeros of the Riemann zeta-function. We recall the definitions of the mentioned zeta-functions. Let $s = \sigma + it$ be a complex variable, and $\mathbf{a} = \{a_m : m \in \mathbb{N}\}$ and $\mathbf{b} = \{b_m : m \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}\}$ be two periodic sequences of complex numbers with minimal periods $q_1 \in \mathbb{N}$ and $q_2 \in \mathbb{N}$, respectively. Then the periodic zeta-function $\zeta(s; \mathbf{a})$ and the periodic Hurwitz zeta-function $\zeta(s, \alpha; \mathbf{b})$ with parameter α , $0 < \alpha \leq 1$, are defined, for $\sigma > 1$, by the Dirichlet series

$$\zeta(s; \mathbf{a}) = \sum_{m=1}^{\infty} \frac{a_m}{m^s} \quad \text{and} \quad \zeta(s, \alpha; \mathbf{b}) = \sum_{m=0}^{\infty} \frac{b_m}{(m + \alpha)^s}.$$

If $a_m \equiv 1$, then $\zeta(s; \mathbf{a})$ reduces to the Riemann zeta-function $\zeta(s) = \sum_{m=1}^{\infty} \frac{1}{m^s}$, $\sigma > 1$, and $\zeta(s, \alpha; \mathbf{b})$, for $b_m \equiv 1$, becomes the classical Hurwitz zeta-function $\zeta(s, \alpha) = \sum_{m=0}^{\infty} 1/(m + \alpha)^s$. The periodicity of the sequences \mathbf{a} and \mathbf{b} implies the equalities

$$\zeta(s; \mathbf{a}) = \frac{1}{q_1^s} \sum_{m=1}^{q_1} a_m \zeta\left(s, \frac{m}{q_1}\right), \quad (1.1)$$

$$\zeta(s, \alpha; \mathbf{b}) = \frac{1}{q_2^s} \sum_{m=0}^{q_2-1} b_m \zeta\left(s, \frac{m + \alpha}{q_2}\right). \quad (1.2)$$

Thus, the well-known properties of the Hurwitz zeta-function show that the functions $\zeta(s; \mathbf{a})$ and $\zeta(s, \alpha; \mathbf{b})$ have analytic continuation to the whole complex plane, except for the point $s = 1$ that is a simple pole with residues

$$\frac{1}{q_1} \sum_{m=1}^{q_1} a_m \quad \text{and} \quad \frac{1}{q_2} \sum_{m=0}^{q_2-1} b_m,$$

respectively. If the above quantities are zero, then the corresponding zeta-functions are entire. The approximation of analytic functions by the functions $\zeta(s; \mathbf{a})$ and $\zeta(s, \alpha; \mathbf{b})$ was studied in [8, 26, 28, 29] and [2, 7, 18, 22, 24, 25, 27], respectively.

The first joint results for a pair of functions $(\zeta(s; \mathbf{a}), \zeta(s, \alpha; \mathbf{b}))$ has been obtained in [9]. Assuming that the sequence \mathbf{a} is multiplicative, i. e., $a_1 = 1$ and $a_{mn} = a_m a_n$ for all coprimes m and n , and that the parameter is transcendental, a joint universality theorem on the approximation of a pair of analytic functions has been proved. Let $D = \{s \in \mathbb{C} : \frac{1}{2} < \sigma < 1\}$, \mathcal{K} be the class of compact subsets of the strip D with connected complements, $H(K)$ with $K \in \mathcal{K}$ be the class of continuous functions on K that are analytic in the interior of K , and let $H_0(K)$ denote the subclass of $H(K)$ of non-vanishing functions. Then it was proved in [9] that if $K_1, K_2 \in \mathcal{K}$, $f_1(s) \in H_0(K_1)$ and

$f_2(s) \in H(K_2)$, then for every $\varepsilon > 0$,

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K_1} |\zeta(s + i\tau; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + i\tau, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0,$$

where $\text{meas}A$ denotes the Lebesgue measure of a measurable set $A \subset \mathbb{R}$. A discrete version of the latter theorem has been presented in [15]. Let $\#A$ denote the cardinality of the set A , N run over non-negative integers, and \mathbb{P} be the set of all prime numbers. For $h > 0$, define

$$L(\mathbb{P}, \alpha, h, \pi) = \left\{ (\log p : p \in \mathbb{P}), (\log(m + \alpha) : m \in \mathbb{N}_0), \frac{2\pi}{h} \right\}.$$

If the set $L(\mathbb{P}, \alpha, h, \pi)$ is linearly independent over the field of rational numbers \mathbb{Q} , and the sequence \mathbf{a} is multiplicative, then, for the same K_1, K_2 and $f_1(s), f_2(s)$ as above, it was proved in [15] that, for every $\varepsilon > 0$,

$$\liminf_{N \rightarrow \infty} \frac{1}{N + 1} \# \left\{ 0 \leq k \leq N : \sup_{s \in K_1} |\zeta(s + ikh; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + ikh, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0. \tag{1.3}$$

Moreover, under hypothesis that the set

$$\{(h_1 \log p : p \in \mathbb{P}), (h_2 \log(m + \alpha) : m \in \mathbb{N}_0, 2\pi)\}$$

is linearly independent over \mathbb{Q} , it was obtained the following modification of inequality (1.3):

$$\liminf_{N \rightarrow \infty} \frac{1}{N + 1} \# \left\{ 0 \leq k \leq N : \sup_{s \in K_1} |\zeta(s + ikh_1; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + ikh_2, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0.$$

Similar results also are given in [17] and [19]. Approximation results for more general collections consisting from periodic zeta functions were obtained in [3, 11, 12, 13, 14, 16, 20] and [23].

The aim of this paper is to replace in shifts $\zeta(s + ikh; \mathbf{a})$ and $\zeta(s + ikh; \alpha; \mathbf{b})$ the sequence $\{kh\}$ by more complicated one. Let $0 < \gamma_1 < \gamma_2 < \dots \leq \gamma_k \leq \dots$ be the sequence of imaginary parts of non-trivial zeros of the Riemann zeta-function. The behaviour of the sequence $\{\gamma_k : k \in \mathbb{N}\}$ is mysterious, therefore, we will use a certain hypothesis that is implied by the well-known Montgomery pair correlation conjecture [33]. Namely, we suppose that the estimate

$$\sum_{\substack{\gamma_k \leq T \\ |\gamma_k - \gamma_l| < \frac{c}{\log T}}} \sum_{\gamma_l \leq T} 1 \ll T \log T \tag{1.4}$$

holds for $c > 0$ as $T \rightarrow \infty$. The Montgomery conjecture gives the asymptotic formula for the left-hand side of (1.4). The condition (1.4) was applied in [6] for the approximation of analytic functions by shifts $\zeta(s + i\gamma_k h)$, in [30] for shifts $\zeta(s + i\gamma_n h, \alpha)$ and by shifts $(\zeta(s + i\gamma_k h), \zeta(s + i\gamma_k h, \alpha))$ in [21]. In [4, 5], in place of (1.4), the Riemann hypothesis was used. The paper [26] is devoted to joint approximation of analytic functions by shifts of Dirichlet L -functions $L(s + i\gamma_k h, \chi_1), \dots, L(s + i\gamma_k h, \chi_r)$ also by using (1.4).

Now, we state the main theorems of the paper.

Theorem 1. *Suppose that the sequence \mathbf{a} is multiplicative, the parameter α is transcendental, and the bound (1.4) is true. Let $K_1, K_2 \in \mathcal{K}$, $f_1(s) \in H_0(K_1)$, $f_2(s) \in H(K_2)$ and $h > 0$. Then, for every $\varepsilon > 0$,*

$$\liminf_{N \rightarrow \infty} \frac{1}{N} \# \left\{ 1 \leq k \leq N : \sup_{s \in K_1} |\zeta(s + i\gamma_k h; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + i\gamma_k h, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0.$$

The positivity of a lower density of the set of shifts approximating a given pair $(f_1(s), f_2(s))$ can be replaced by that of the density with some exception for $\varepsilon > 0$. More precisely, the following statement is true.

Theorem 2. *Under hypotheses of Theorem 1, the limit*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \# \left\{ 1 \leq k \leq N : \sup_{s \in K_1} |\zeta(s + i\gamma_k h; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + i\gamma_k h, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0$$

exists for all but at most countably many $\varepsilon > 0$.

For the proof of Theorems 1 and 2, the Fourier transform and weak convergence methods will be applied.

2 Uniform distribution modulo 1

In this section, we present some facts related to the uniform distribution modulo 1 of sequences of real numbers.

We recall that the sequence $\{x_k : k \in \mathbb{N}\} \subset \mathbb{R}$ is uniformly distributed modulo 1 if, for every interval $[a, b) \subset [0, 1)$

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n \chi_{[a,b)}(\{x_k\}) = b - a,$$

where $\chi_{[a,b)}$ is the indicator function of the interval $[a, b)$, and $\{x_k\}$ denotes the fractional part of x_k .

The next lemma is the well-known Weyl criterion.

Lemma 1. A sequence $\{x_k : k \in \mathbb{N}\} \subset \mathbb{R}$ is uniformly distributed modulo 1 if and only if, for every $m \in \mathbb{Z} \setminus \{0\}$,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n e^{2\pi i m x_k} = 0.$$

Proof of the lemma can be found, for example, in [10].

Lemma 2. The sequence $\{\gamma_{ka} : k \in \mathbb{N}\}$ with every $a \in \mathbb{R} \setminus \{0\}$ is uniformly distributed modulo 1.

Proof. The lemma was obtained in [34] and used in [6]. \square

Lemmas 1 and 2 will be applied for weak convergence of probability measures on certain topological groups. Let $\gamma = \{s \in \mathbb{C} : |s| = 1\}$, and

$$\Omega_1 = \prod_{p \in \mathbb{P}} \gamma_p \text{ and } \Omega_2 = \prod_{m \in \mathbb{N}_0} \gamma_m,$$

where $\gamma_p = \gamma$ for all $p \in \mathbb{P}$ and $\gamma_m = \gamma$ for all $m \in \mathbb{N}_0$. In view of the Tikhonov theorem, Ω_1 and Ω_2 , with the product topology and pointwise multiplication, are compact topological Abelian groups. Define $\Omega = \Omega_1 \times \Omega_2$. Then again, Ω is a compact topological group, therefore, on $(\Omega, \mathcal{B}(\Omega))$ ($\mathcal{B}(\mathbb{X})$ is the Borel σ -field of the space \mathbb{X}) the probability Haar measure m_H exists, and we have the probability space $(\Omega, \mathcal{B}(\Omega), m_H)$. Denote by $\omega_1(p)$ the p th component of an element $\omega_1 \in \Omega_1$, $p \in \mathbb{P}$, and by $\omega_2(m)$ the m th component of an element $\omega_2 \in \Omega_2$. Elements of Ω are denoted by $\omega = (\omega_1, \omega_2)$, $\omega_1 \in \Omega_1$, $\omega_2 \in \Omega_2$.

For $A \in \mathcal{B}(\Omega)$, define

$$Q_{N,\alpha}(A) = \frac{1}{N} \# \left\{ 1 \leq k \leq N : \left((p^{-i\gamma_k h} : p \in \mathbb{P}), (m + \alpha)^{-i\gamma_k h} : m \in \mathbb{N}_0 \right) \in A \right\}.$$

The next lemma deals with weak convergence of $Q_{N,\alpha}$ as $N \rightarrow \infty$.

Lemma 3. Suppose that α is a transcendental number. Then $Q_{N,\alpha}$ converges weakly to the Haar measure m_H as $N \rightarrow \infty$.

Proof. We apply the Fourier transform method. Let $g_{N,\alpha}(\underline{k}, \underline{l})$, $\underline{k} = (k_p : k_p \in \mathbb{Z}, p \in \mathbb{P})$, $\underline{l} = (l_m : l_m \in \mathbb{Z}, m \in \mathbb{N}_0)$, be the Fourier transform of $Q_{N,\alpha}$. Then it is well known that

$$g_{N,\alpha}(\underline{k}, \underline{l}) = \int_{\Omega} \left(\prod'_{p \in \mathbb{P}} \omega_1^{k_p}(p) \prod'_{m \in \mathbb{N}_0} \omega_2^{l_m}(m) \right) dQ_{N,\alpha},$$

where "'' means that only a finite number of integers k_p and l_m are distinct from zero. Thus, by the definition of $Q_{N,\alpha}$,

$$\begin{aligned} g_{N,\alpha}(\underline{k}, \underline{l}) &= \frac{1}{N} \sum_{k=1}^N \prod'_{p \in \mathbb{P}} p^{-ihk_p \gamma_k} \prod'_{m \in \mathbb{N}_0} (m + \alpha)^{-ihl_m \gamma_k} \tag{2.1} \\ &= \frac{1}{N} \sum_{k=1}^N \exp \left\{ -ih\gamma_k \left(\sum'_{p \in \mathbb{P}} k_p \log p + \sum'_{m \in \mathbb{N}_0} l_m \log(m + \alpha) \right) \right\}. \end{aligned}$$

Clearly,

$$g_{N,\alpha}(\underline{0}, \underline{0}) = 1. \tag{2.2}$$

Since α is transcendental, the set

$$\{(\log p : p \in \mathbb{P}), (\log(m + \alpha) : m \in \mathbb{N}_0)\}$$

is linearly independent over \mathbb{Q} [9]. Therefore,

$$\sum'_{p \in \mathbb{P}} k_p \log p + \sum'_{m \in \mathbb{N}_0} l_m \log(m + \alpha) \neq 0$$

for $(\underline{k}, \underline{l}) \neq (\underline{0}, \underline{0})$. Hence, in view of Lemmas 2 and 1, we obtain by (2.1)

$$\lim_{N \rightarrow \infty} g_{N,\alpha}(\underline{k}, \underline{l}) = 0$$

for $(\underline{k}, \underline{l}) \neq (\underline{0}, \underline{0})$. This together with (2.2) shows that

$$\lim_{N \rightarrow \infty} g_{N,\alpha}(\underline{k}, \underline{l}) = \begin{cases} 1, & \text{if } (\underline{k}, \underline{l}) = (\underline{0}, \underline{0}), \\ 0, & \text{if } (\underline{k}, \underline{l}) \neq (\underline{0}, \underline{0}). \end{cases}$$

Since the right-hand side of the latter equality is the Fourier transform of the Haar measure m_H , a continuity theorem for probability measures on compact groups proves the lemma. \square

Lemma 3 implies the weak convergence for probability measures defined by means of absolutely convergent Dirichlet series. We recall that $D = \{s \in \mathbb{C} : \frac{1}{2} < \sigma < 1\}$. Denote by $H(D)$ the space of analytic functions on D endowed with the topology of uniform convergence on compacta, and $H^2(D) = H(D) \times H(D)$.

Let $\theta > \frac{1}{2}$ be a fixed number, and, for $m, n \in \mathbb{N}$,

$$v_n(m) = \exp \left\{ - \left(\frac{m}{n} \right)^\theta \right\},$$

and, for $m \in \mathbb{N}_0, n \in \mathbb{N}$,

$$v_n(m, \alpha) = \exp \left\{ - \left(\frac{m + \alpha}{n + \alpha} \right)^\theta \right\}.$$

Define the series

$$\zeta_n(s; \mathbf{a}) = \sum_{m=1}^{\infty} \frac{a_m v_n(m)}{m^s}, \quad \zeta_n(s, \alpha; \mathbf{b}) = \sum_{m=0}^{\infty} \frac{b_m v_n(m, \alpha)}{(m + \alpha)^s}.$$

The latter series are absolutely convergent for $\sigma > \frac{1}{2}$ [9]. Moreover, we set

$$\zeta_n(s, \omega_1; \mathbf{a}) = \sum_{m=1}^{\infty} \frac{a_m \omega_1(m) v_n(m)}{m^s} \tag{2.3}$$

and

$$\zeta_n(s, \alpha, \omega_2; \mathbf{b}) = \sum_{m=0}^{\infty} \frac{b_m \omega_2(m) v_n(m, \alpha)}{(m + \alpha)^s}, \tag{2.4}$$

the series again being absolutely convergent for $\sigma > \frac{1}{2}$. For brevity, we put

$$\begin{aligned} \underline{\zeta}_n(s, \alpha; \mathbf{a}, \mathbf{b}) &= (\zeta_n(s; \mathbf{a}), \zeta_n(s, \alpha; \mathbf{b})), \\ \underline{\zeta}_n(s, \alpha, \omega; \mathbf{a}, \mathbf{b}) &= (\zeta_n(s, \omega_1; \mathbf{a}), \zeta_n(s, \alpha, \omega_2; \mathbf{b})). \end{aligned}$$

Define the function $u_{n,\alpha} : \Omega \rightarrow H^2(D)$ by the formula

$$u_{n,\alpha}(\omega) = \underline{\zeta}_n(s, \alpha, \omega; \mathbf{a}, \mathbf{b}).$$

Since the series (2.3) and (2.4) are absolutely convergent for $\sigma > \frac{1}{2}$, the function $u_{n,\alpha}$ is continuous, hence $(\mathcal{B}(\Omega), \mathcal{B}(H^2(D)))$ – measurable. Therefore, the measure m_H induces on $(H^2(D), \mathcal{B}(H^2(D)))$ the unique probability measure $m_H u_{n,\alpha}^{-1}$ defined, for $A \in \mathcal{B}(H^2(D))$ by

$$m_H u_{n,\alpha}^{-1}(A) = m_H(u_{n,\alpha}^{-1}A).$$

Let, for $A \in \mathcal{B}(H^2(D))$,

$$P_{N,n,\alpha}(A) = \frac{1}{N} \# \left\{ 1 \leq k \leq N : \underline{\zeta}_n(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}) \in A \right\}.$$

Then we have the following statement.

Lemma 4. *Suppose that α is a transcendental number. Then $P_{N,n,\alpha}$ converges weakly to $\hat{P}_{n,\alpha} \stackrel{def}{=} m_H u_{n,\alpha}^{-1}$ as $N \rightarrow \infty$.*

Proof. By the definition of $u_{n,\alpha}$,

$$u_{n,\alpha}((p^{-i\gamma_k h} : p \in \mathbb{P}), ((m + \alpha)^{-i\gamma_k h} : m \in \mathbb{N}_0)) = \underline{\zeta}_n(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}).$$

Therefore, for every $A \in \mathcal{B}(H^2(D))$,

$$\begin{aligned} P_{N,n,\alpha}(A) &= \frac{1}{N} \# \left\{ 1 \leq k \leq N : \right. \\ &\quad \left. ((p^{-i\gamma_k h} : p \in \mathbb{P}), ((m + \alpha)^{-i\gamma_k h} : m \in \mathbb{N}_0)) \in u_{n,\alpha}^{-1}A \right\}, \end{aligned}$$

i. e., $P_{N,n,\alpha} = Q_{N,\alpha} u_{n,\alpha}^{-1}$, where $Q_{N,\alpha}$ is from Lemma 3. Thus, the assertion of the lemma is a consequence of Lemma 3, continuity of $u_{n,\alpha}$ and Theorem 5.1 of [1]. \square

3 Mean square estimates

To pass from $\underline{\zeta}_n(s, \alpha; \mathbf{a}, \mathbf{b})$ to $\underline{\zeta}(s, \alpha, \mathbf{a}, \mathbf{b}) = (\zeta(s; \mathbf{a}), \zeta(s, \alpha; \mathbf{b}))$, we need a certain approximation result for $\underline{\zeta}(s, \alpha; \mathbf{a}, \mathbf{b})$ by $\zeta_n(s, \alpha; \mathbf{a}, \mathbf{b})$. For this aim, some mean

square estimates are needed. In this step, the estimate (1.4) plays an important role. Equalities (1.1) and (1.2) imply for fixed σ , $\frac{1}{2} < \sigma < 1$, the estimates

$$\int_0^T |\zeta(\sigma + it; \mathbf{a})|^2 dt \ll_{\sigma, \mathbf{a}} T \quad \text{and} \quad \int_0^T |\zeta(\sigma + it, \alpha; \mathbf{b})|^2 dt \ll_{\sigma, \alpha, \mathbf{b}} T.$$

Hence, for $\tau \in \mathbb{R}$,

$$\int_0^T |\zeta(\sigma + it + i\tau; \mathbf{a})|^2 dt \ll_{\sigma, \mathbf{a}} T(1 + |\tau|), \tag{3.1}$$

$$\int_0^T |\zeta(\sigma + it + i\tau, \alpha; \mathbf{b})|^2 dt \ll_{\sigma, \alpha, \mathbf{b}} T(1 + |\tau|). \tag{3.2}$$

The above mean square estimates are of continuous type. The following Gallagher lemma connects discrete and continuous mean square estimates for certain functions.

Lemma 5. *Suppose that $T_0, T \geq \delta > 0$ are real numbers, and $\mathfrak{T} \neq \emptyset$ is a finite set in the interval $[T_0 + \frac{\delta}{2}, T_0 + T - \frac{\delta}{2}]$. Define*

$$N_\delta(x) = \sum_{t \in \mathfrak{T}, |t-x| < \delta} 1.$$

Let $S(x)$ be a complex-valued continuous function on $[T_0, T_0 + T]$ having a continuous derivative on $(T_0, T_0 + T)$. Then

$$\sum_{t \in \mathfrak{T}} N_\delta^{-1}(t) |S(t)|^2 \leq \frac{1}{\delta} \int_{T_0}^{T_0+T} |S(x)|^2 dx + \left(\int_{T_0}^{T_0+T} |S(x)|^2 dx \int_{T_0}^{T_0+T} |S'(x)|^2 dx \right)^{\frac{1}{2}}.$$

Proof of the lemma is given in [32], Lemma 1.4.

The asymptotics of γ_k is given in

Lemma 6. *For $k \rightarrow \infty$, $\gamma_k \sim 2\pi k / \log k$.*

Proof of the lemma can be found in [35].

Now, we are in position to obtain discrete mean square estimates for the functions $\zeta(s, \mathbf{a})$ and $\zeta(s, \alpha; \mathbf{b})$.

Lemma 7. *Suppose that (1.4) is true. Then, for fixed σ , $\frac{1}{2} < \sigma < 1$, and $\tau \in \mathbb{R}$,*

$$\sum_{k=1}^N |\zeta(\sigma + i\gamma_k h + i\tau; \mathbf{a})| \ll_{\sigma, \mathbf{a}, h} N(1 + |\tau|),$$

$$\sum_{k=1}^N |\zeta(\sigma + i\gamma_k h + i\tau, \alpha; \mathbf{b})| \ll_{\sigma, \alpha, \mathbf{b}, h} N(1 + |\tau|).$$

Proof. In view of Lemma 6, $\gamma_k \leq c_1 k / \log k$ with some $c_1 > 0$ for all $k \geq 2$. We apply Lemma 5 with $\delta = ch \left(\log \frac{\log N}{c_1 N} \right)^{-1}$, $T_0 = \gamma_1 h - \frac{\delta}{2}$, $T = \gamma_N h - T_0 + \frac{\delta}{2}$ and $\mathfrak{T} = \{\gamma_1 h, \dots, \gamma_N h\}$. Then we have by (1.4)

$$\sum_{l=1}^N N_\delta(\gamma_l h) = \sum_{l=1}^N \sum_{\substack{\gamma_k \leq \frac{c_1 N}{\log N} \\ |\gamma_l - \gamma_k| < \frac{\delta}{h}}} 1 = \sum_{\substack{\gamma_l, \gamma_k \leq \frac{c_1 N}{\log N} \\ |\gamma_l - \gamma_k| < \frac{\delta}{h}}} 1 \ll N. \tag{3.3}$$

By the Cauchy integral formula,

$$\zeta'(\sigma + it + i\tau; \mathbf{a}) = \frac{1}{2\pi i} \int_L \frac{\zeta(z + it + i\tau; \mathbf{a})}{(z - \sigma)^2} dz,$$

where L is the circle with a center σ lying in D . Hence,

$$\begin{aligned} |\zeta'(\sigma + it + i\tau; \mathbf{a})|^2 &\ll \left| \int_L \frac{\zeta'(z + it + i\tau; \mathbf{a})}{(z - \sigma)^2} dz \right|^2 \ll \int_L \frac{|dz|}{|z - \sigma|^4} \\ &\times \int_L |\zeta(z + it + i\tau; \mathbf{a})|^2 |dz| \ll_\sigma \int_L |\zeta(z + it + i\tau; \mathbf{a})|^2 |dz|. \end{aligned}$$

Therefore, in view of (3.2),

$$\begin{aligned} \int_0^T |\zeta'(\sigma + it + i\tau; \mathbf{a})|^2 dt &\ll \int_L |dz| \int_0^T |\zeta(\Re z + i\Im z + it + i\tau; \mathbf{a})|^2 dt \\ &\ll_{\sigma, \mathbf{a}} T(1 + |\tau|). \end{aligned}$$

Now, this (3.1), (3.3) and Lemma 5 yield, for sufficiently large N ,

$$\begin{aligned} \sum_{k=1}^N |\zeta(\sigma + i\gamma_k h + i\tau; \mathbf{a})| &= \sum_{k=1}^N \sqrt{N_\delta(\gamma_k h) N_\delta^{-1}(\gamma_k h)} |\zeta(\sigma + i\gamma_k h + i\tau; \mathbf{a})| \\ &\ll \left(\sum_{k=1}^N N_\delta(\gamma_k h) \sum_{k=1}^N N_\delta^{-1}(\gamma_k h) |\zeta(\sigma + i\gamma_k h + i\tau; \mathbf{a})|^2 \right)^{\frac{1}{2}} \\ &\ll_\sigma \sqrt{N} \left(\frac{1}{\delta} \int_0^{2\gamma_N h} |\zeta(\sigma + it + i\tau; \mathbf{a})|^2 dt + \left(\int_0^{2\gamma_N h} |\zeta(\sigma + it + i\tau; \mathbf{a})|^2 dt \right. \right. \\ &\times \left. \left. \int_0^{2\gamma_N h} |\zeta'(\sigma + it + i\tau; \mathbf{a})|^2 dt \right)^{\frac{1}{2}} \right) \ll_{\sigma, \mathbf{b}, h} N(1 + |\tau|). \end{aligned}$$

The bound for the function $\zeta(s, \alpha; \mathbf{b})$ is obtained similarly. \square

4 Approximation results

In this section, we will approximate $\zeta(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b})$ by $\zeta_{\Sigma_n}(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b})$ in the mean. For this, we recall the metric in the space $H^2(D)$. For $g_1, g_2 \in H(D)$, define

$$\rho(g_1, g_2) = \sum_{l=1}^\infty 2^{-l} \frac{\sup_{s \in K_l} |g_1(s) - g_2(s)|}{1 + \sup_{s \in K_l} |g_1(s) - g_2(s)|},$$

where $\{K_l : l \in \mathbb{N}\}$ is a sequence of compact subsets of the strip D such that $D = \bigcup_{l=1}^{\infty} K_l$, $K_l \subset K_{l+1}$ for $l \in \mathbb{N}$, and if $K \subset D$ is a compact set, then $K \subset K_l$ for some $l \in \mathbb{N}$. Then ρ is a metric in $H(D)$ inducing its topology of uniform convergence on compacta. For $\underline{g}_1 = (g_{11}, g_{12}), \underline{g}_2 = (g_{21}, g_{22}) \in H^2(D)$, we set

$$\rho(\underline{g}_{11}, \underline{g}_{21}) = \max_{1 \leq j \leq 2} \rho(g_{1j}, g_{2j}).$$

Then $\underline{\rho}$ is a metric in $H^2(D)$ inducing the product topology.

Lemma 8. *Suppose that (1.4) is true. Then*

$$\lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N \underline{\rho} \left(\zeta(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}), \zeta_n(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}) \right) = 0.$$

Proof. By the definition of the metric $\underline{\rho}$, it suffices to prove that

$$\lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N \rho(\zeta(s + i\gamma_k h; \mathbf{a}), \zeta_n(s + i\gamma_k h; \mathbf{a})) = 0, \tag{4.1}$$

$$\lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N \rho(\zeta(s + i\gamma_k h, \alpha; \mathbf{b}), \zeta_n(s + i\gamma_k h, \alpha; \mathbf{b})) = 0. \tag{4.2}$$

Let

$$l_n(s) = \frac{s}{\theta} \Gamma\left(\frac{s}{\theta}\right) n^s,$$

where θ comes from the definition of $v_n(m)$, and $\Gamma(s)$ denotes the Euler gamma-function. Then it is known that

$$\zeta_n(s; \mathbf{a}) = \frac{1}{2\pi i} \int_{\theta - i\infty}^{\theta + i\infty} \zeta(s + z; \mathbf{a}) l_n(z) \frac{dz}{z}. \tag{4.3}$$

Denote by a the residue of the function $\zeta(s; \mathbf{a})$ at the point $s = 1$. Let $\hat{\theta} > 0$. Then, by (4.3),

$$\zeta_n(s; \mathbf{a}) - \zeta(s; \mathbf{a}) = \frac{1}{2\pi i} \int_{-\hat{\theta} - i\infty}^{-\hat{\theta} + i\infty} \zeta(s + z; \mathbf{a}) l_n(z) \frac{dz}{z} + \frac{a l_n(1 - s)}{1 - s}. \tag{4.4}$$

Suppose that K is a fixed compact set of the strip D , and take $\varepsilon > 0$ such that $\frac{1}{2} + 2\varepsilon \leq \sigma \leq 1 - \varepsilon$ for any point $s = \sigma + iv \in K$. Now, let

$$\hat{\theta} = \sigma - \varepsilon - \frac{1}{2} \text{ and } \theta = \frac{1}{2} + \varepsilon.$$

Then (4.4), implies, for $s \in K$, the inequality

$$\begin{aligned} |\zeta(s + i\gamma_k h; \mathbf{a}) - \zeta_n(s + i\gamma_k h; \mathbf{a})| &\leq \frac{1}{2\pi} \int_{-\infty}^{\infty} |\zeta(s + i\gamma_k h - \hat{\theta} + it)| \frac{l_n(-\hat{\theta} + it)}{|-\hat{\theta} + it|} dt \\ &\quad + \frac{|a| l_n(1 - s - i\gamma_k h)}{|1 - s - i\gamma_k h|}. \end{aligned}$$

In the latter integral, take t in place $t + v$. This gives

$$|\zeta(s + i\gamma_k h; \mathbf{a}) - \zeta_n(s + i\gamma_k h; \mathbf{a})| \leq \frac{1}{2\pi} \int_{-\infty}^{\infty} \left| \zeta\left(\frac{1}{2} + \varepsilon + i(t + \gamma_k h); \mathbf{a}\right) \right| \times \frac{|l_n(\frac{1}{2} + \varepsilon - s + it)|}{|\frac{1}{2} + \varepsilon - s + it|} dt + \frac{|a|l_n(1 - s - i\gamma_k h)|}{|1 - s - i\gamma_k h|}.$$

This leads to

$$\frac{1}{N} \sum_{k=1}^N \sup_{s \in K} |\zeta(s + i\gamma_k; \mathbf{a}) - \zeta_n(s + i\gamma_k h; \mathbf{a})| \leq S_1 + S_2, \tag{4.5}$$

where

$$S_1 = \frac{1}{2\pi N} \int_{-\infty}^{\infty} \left(\sum_{k=1}^N \left| \zeta\left(\frac{1}{2} + \varepsilon + i(t + \gamma_k h); \mathbf{a}\right) \right| \sup_{s \in K} \frac{|l_n(\frac{1}{2} + \varepsilon - s + it)|}{|\frac{1}{2} + \varepsilon - s + it|} \right) dt,$$

$$S_2 = \frac{|a|}{N} \sum_{k=1}^N \sup_{s \in K} \frac{|l_n(1 - s - i\gamma_k h)|}{|1 - s - i\gamma_k h|}.$$

For the function $\Gamma(\sigma + it)$, the estimate

$$\Gamma(\sigma + it) \ll \exp\{-c|t|\}, \quad c > 0,$$

uniform in $\sigma_1 \leq \sigma \leq \sigma_2$, is known. Therefore, the definition of the function $l_n(s)$ implies the bound, for $s \in K$,

$$\frac{l_n(\frac{1}{2} + \varepsilon - s + it)}{\frac{1}{2} + \varepsilon - s + it} \ll n^{-\varepsilon} \exp\left\{-\frac{c|t-v|}{\theta}\right\} \ll_K n^{-\varepsilon} \exp\{-c|t|\}. \tag{4.6}$$

By similar arguments, we find that

$$\frac{l_n(1 - s - i\gamma_k h)}{1 - s - i\gamma_k h} \ll_{K,h} n^{1-\sigma} \exp\{-c\gamma_k h\}. \tag{4.7}$$

From (4.6) and Lemma 7, it follows that

$$S_1 \ll_{K,a,h} n^{-\varepsilon} \int_{-\infty}^{\infty} (1 + |t|) \exp\{-c|t|\} dt \ll_{K,a,h} n^{-\varepsilon},$$

while (4.7) shows that

$$S_2 \ll_{K,a,n} n^{\frac{1}{2}-2\varepsilon} \frac{\log N}{N}.$$

Therefore, in view of (4.5), we obtain that

$$\lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N \sup_{s \in K} |\zeta(s + i\gamma_k h; \mathbf{a}) - \zeta_n(s + i\gamma_k h; \mathbf{a})| = 0,$$

and this and the definition of the metric ρ imply (4.1).

The equality (4.2) is proved similarly by using the representation

$$\zeta_n(s, \alpha; \mathbf{b}) = \frac{1}{2\pi i} \int_{-\theta+i\infty}^{\theta+i\infty} \zeta(s+z, \alpha; \mathbf{b}) \frac{l_n(z, \alpha)}{z} dz,$$

where

$$l_n(s, \alpha) = \frac{s}{\theta} \Gamma\left(\frac{s}{\theta}\right) (n + \alpha)^s,$$

as well as the second bound of Lemma 7. \square

5 A limit theorem

In this section, we will prove a limit theorem for $\zeta(s, \alpha; \mathbf{a}, \mathbf{b})$ in the space $H^2(D)$. For the statement of that theorem, a certain $H^2(D)$ - valued random element is used. On the probability space $(\Omega, \mathcal{B}(\Omega), m_H)$, define the $H^2(D)$ - valued random element

$$\underline{\zeta}(s, \omega, \alpha; \mathbf{a}, \mathbf{b}) = \left(\sum_{m=1}^{\infty} \frac{a_m \omega_1(m)}{m^s}, \sum_{m=0}^{\infty} \frac{b_m \omega_2(m)}{(m + \alpha)^s} \right).$$

We observe that the latter series both are almost surely uniformly convergent on compact subsets of the strip D . Denote by $P_{\underline{\zeta}, \alpha}$ the distribution of the random element $\underline{\zeta}(s, \omega, \alpha; \mathbf{a}, \mathbf{b})$, i. e.,

$$P_{\underline{\zeta}, \alpha}(A) = m_H\{\omega \in \Omega : \underline{\zeta}(s, \omega, \alpha; \mathbf{a}, \mathbf{b}) \in A\}, \quad A \in \mathcal{B}(H^2(D)).$$

Moreover, for $A \in \mathcal{B}(H^2(D))$,

$$P_{N, \alpha}(A) = \frac{1}{N} \#\{1 \leq k \leq N : \zeta(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}) \in A\}.$$

Theorem 3. *Suppose that the sequence \mathbf{a} is multiplicative, the parameter α is transcendental, and the bound (1.4) is true. Then $P_{N, \alpha}$ converges weakly to $P_{\underline{\zeta}, \alpha}$ as $N \rightarrow \infty$.*

Proof. We return to Lemma 4 and its limit measure $\hat{P}_{n, \alpha}$. Let θ_N be a random variable defined on a certain probability space with the measure μ and having the distribution

$$\mu\{\theta_N = \gamma_k h\} = \frac{1}{N}, \quad k = 1, \dots, N.$$

Define the $H^2(D)$ - valued random element

$$X_{N, n, \alpha} = X_{N, n, \alpha}(s) = \underline{\zeta}_n(s + i\theta_N, \alpha; \mathbf{a}, \mathbf{b}).$$

Then, denoting by $\hat{X}_{n, \alpha}$ the $H^2(D)$ -valued random element with the distribution $\hat{P}_{n, \alpha}$, we rewrite the assertion of Lemma 4 in the form

$$X_{N,n,\alpha} \xrightarrow[N \rightarrow \infty]{\mathcal{D}} \hat{X}_{n,\alpha}. \tag{5.1}$$

In [9], it is proved that the sequence of probability measures $\{\hat{P}_{n,\alpha} : n \in \mathbb{N}\}$ is tight, i.e., for every $\varepsilon > 0$, there exists a compact set $K = K(\varepsilon) \subset H^2(D)$ such that

$$\hat{P}_{n,\alpha}(K) > 1 - \varepsilon$$

for all $n \in \mathbb{N}$. By the Prokhorov theorem [1], Theorem 6.1, every tight family of probability measures is relatively compact. Thus, every subsequence of $\{\hat{P}_{n,\alpha}\}$ contains a subsequence $\{\hat{P}_{n_r,\alpha}\}$ such that $\hat{P}_{n_r,\alpha}$ converges weakly to a certain probability measure P_α on $(H^2(D), B(H^2(D)))$ as $r \rightarrow \infty$. This also can be written in the form

$$\hat{X}_{n_r,\alpha} \xrightarrow[r \rightarrow \infty]{\mathcal{D}} P_\alpha. \tag{5.2}$$

Using the random variable θ_N , define one more $H^2(D)$ -valued random element

$$X_{N,\alpha} = X_{N,\alpha}(s) = \underline{\zeta}(s + i\theta_N, \alpha; \mathbf{a}, \mathbf{b}).$$

Then Lemma 8 implies, for every $\varepsilon > 0$,

$$\begin{aligned} & \lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \mu\{\underline{\rho}(X_{N,\alpha}, X_{N,n,\alpha}) \geq \varepsilon\} \\ &= \lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N} \#\{1 \leq k \leq N : \\ & \quad \underline{\rho}(\underline{\zeta}(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}), \underline{\zeta}_n(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b})) \geq \varepsilon\} \\ &\leq \lim_{n \rightarrow \infty} \limsup_{N \rightarrow \infty} \frac{1}{N\varepsilon} \sum_{k=1}^N \underline{\rho}(\underline{\zeta}(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b}), \underline{\zeta}_n(s + i\gamma_k h, \alpha; \mathbf{a}, \mathbf{b})) = 0. \end{aligned}$$

Now, this equality, the relations (5.1) and (5.2), and Theorem 4.2 of [1] show that

$$X_{N,\alpha} \xrightarrow[N \rightarrow \infty]{\mathcal{D}} P_\alpha,$$

or $P_{N,\alpha}$ converges weakly to P_α as $N \rightarrow \infty$. Moreover, the latter relation shows that the measure P_α is independent of the subsequence $\{\hat{P}_{n_r,\alpha}\}$. This remark gives the relation

$$\hat{X}_{n,\alpha} \xrightarrow[n \rightarrow \infty]{\mathcal{D}} P_\alpha,$$

or $\hat{P}_{n,\alpha}$ converges weakly to P_α as $n \rightarrow \infty$. Thus, we obtained that $P_{N,\alpha}$ converges weakly to the limit measure P_α of $\hat{P}_{n,\alpha}$. In [15], it was shown that P_α coincides with $P_{\underline{\zeta},\alpha}$. \square

6 Proof of universality theorems

Let

$$S = \{g \in H(D) : g(s) \neq 0 \text{ or } g(s) \equiv 0\} \times H(D).$$

Then it was proved in [9] that the support of the measure $P_{\zeta, \alpha}$ is the set S .

Proof of Theorem 1. By the Mergelyan theorem on the approximation analytic functions by polynomials [31], there exist polynomials $p_1(s)$ and $p_2(s)$ such that

$$\sup_{s \in K_1} |f_1(s) - e^{p_1(s)}| < \frac{\varepsilon}{2}, \tag{6.1}$$

$$\sup_{s \in K_2} |f_2(s) - p_2(s)| < \frac{\varepsilon}{2}. \tag{6.2}$$

Define

$$G_\varepsilon = \left\{ (g_1, g_2) \in H^2(D) : \sup_{s \in K_1} |g_1(s) - e^{p_1(s)}| < \frac{\varepsilon}{2}, \sup_{s \in K_2} |g_2(s) - p_2(s)| < \frac{\varepsilon}{2} \right\}.$$

Then G_ε is an open neighbourhood of the element $(e^{p_1(s)}, p_2(s)) \in S$. Therefore, by properties of the support,

$$P_{\zeta, \alpha}(G_\varepsilon) > 0. \tag{6.3}$$

Moreover, by Theorem 3 and the equivalent of weak convergence of probability measure in terms of open sets [1], Theorem 2.1, we have that

$$\liminf_{N \rightarrow \infty} P_{N, \alpha}(G_n) \geq P_{\zeta, \alpha}(G_\varepsilon) > 0.$$

This, the definitions of $P_{N, \alpha}$ and G_ε together with (6.1) and (6.2) prove the theorem.

Proof of Theorem 2. Define

$$\hat{G}_\varepsilon = \left\{ (g_1, g_2) \in H^2(D) : \sup_{1 \leq j \leq 2} \sup_{s \in K_j} |g_j(s) - f_j(s)| < \varepsilon \right\}.$$

Then the boundary $\partial \hat{G}_\varepsilon$ of \hat{G}_ε lies in the set

$$\left\{ (g_1, g_2) \in H^2(D) : \sup_{1 \leq j \leq 2} \sup_{s \in K_j} |g_j(s) - f_j(s)| = \varepsilon \right\}.$$

Therefore, the boundaries $\partial \hat{G}_{\varepsilon_1}$ and $\partial \hat{G}_{\varepsilon_2}$ with different positive ε_1 and ε_2 do not intersect. Hence, the set \hat{G}_ε is a continuity set $(P_{\zeta, \alpha}(\partial \hat{G}_\varepsilon) = 0)$ of the measure $P_{\zeta, \alpha}$ for all but at most countably many $\varepsilon > 0$. Therefore, by Theorem 3 and the equivalent of weak convergence of probability measures in terms of continuity sets [1], Theorem 2.1, we have that

$$\lim_{n \rightarrow \infty} P_{N, \alpha}(\hat{G}_\varepsilon) = P_{\zeta, \alpha}(\hat{G}_\varepsilon) \tag{6.4}$$

for all but at most countably many $\varepsilon > 0$. The definitions of G_ε and \hat{G}_ε , and (6.1) and (6.2) show that $G_\varepsilon \subset \hat{G}_\varepsilon$. Thus, in view of (6.3),

$$P_{\zeta, \alpha}(\hat{G}_\varepsilon) > 0.$$

This, the definitions of $P_{N, \alpha}$ and \hat{G}_ε together with (6.4) give the assertion of the theorem.

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