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OPERATIONAL SAND STIFFNESS FROM LARGE-SCALE LOAD TESTS ON RECONSTITUTED SAND BEDS

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1. Introduction

Two large-scale experiments have been performed at the ELSA laboratory (JRC, Ispra) in the framework of the research project TRISEE (3D Site Effects of Soil-Foundation Interaction in Earthquake and Vibration Risk Evaluation) funded by the European Commission under the Environment and Climate Programme. These experiments have been described in previous Reports and papers, SGI [1] Negro et al. [2], Pedretti [3], and Jamiolkowski et al. [4].

These experiments consisted of the following steps: i) preparation and saturation of a large-scale sand sample; ii) instrumentation of the sample in order to check the spatial uniformity of D_R and to measure vertical (σ_v) , horizontal (σ_h) and pore (u) pressures; iii) construction of a very rigid foundation at the top of the sand bed; iv) application of static load; v) application of a time varying horizontal force and moment; vi) application of horizontal cyclic load (and moment) of increasing amplitude.

This paper briefly describes the experiments with emphasis on the application of static loads and mainly deals with the interpretation of the load-settlement curves. The load displacement curves and the secant elastic stiffness values inferred from each test have been compared to those determined from Plate Load Tests (PLT) performed in Calibration Chamber (CC) by means of a deep circular footing resting on reconstituted Ticino sand samples [5]. Moreover, the observed settlements were compared to those inferred from conventional computation methods [6, 7]. Creep settlement was also computed. The coefficient of secondary compression $C_{\alpha\epsilon}$ was inferred from the long term stage of PLT's in CC and a conventional two-minutes primaryconsolidation time was assumed.

2. Experimental set-up

2.1. Physical model

The experimental prototype consists of a 4.6 m^2 in plan and 4.05 m in height concrete caisson. The lateral boundaries of the caisson are rigid and waterproofed (Fig 1).

A rigid steel plate of 1×1 m represents the shallow foundation. A concrete layer is interposed between the rigid steel foundation and the sand bed.

The foundation is embedded 1 m into the sand and a steel 1 metre high formwork is placed around the foundation in order to retain the sand.

The vertical load (300 kN for dense sand condition and 100 kN for loose sand condition) was applied to the foundation by means of air cushions. Ticino sand is retrieved from the banks of Ticino river near the city of Pavia and processed for elimination of fines prior to testing. This is a uniform coarse-to-medium silica sand with prevalently subangular grains having sphericity from 0.7 to 0.8 [8]. The index properties of this sand can be summarised as follows: $D_{50}=0.55$ mm, $U_c=1.6$, $G_s=2.684$, $e_{min}=0.931$.

Over the past decade, this sand has been subjected to intensive conventional laboratory and Calibration Chamber testing. The results of such tests have been reported by Baldi et al. [9, 10, 11], Bellotti [12, 4] and Lo Presti et al. [13].

2.2. Description of the experiments

The sample was reconstituted by pluvial deposition using a travelling sand spreader like those described by Passalacqua [14], Tatsuoka et al. [15], Fretti et al. [16] and Lo Presti et al. [17].

The pluviator consists of a hopper that moves back and forth over the caisson at a constant velocity. The



Fig 1. Sketch of the experimental prototype

lower part of the hopper has an opening whose width can be regulated. Meshes can be located beneath the opening for a more uniform spreading of the sand rain. It was decided to use a travelling speed of 1.7 cm/s and a variable falling height (i.e. the distance between the deposition plane and the hopper), between 75 and 150 cm. Table 1 summarises the other test conditions for sand deposition.

Table 1. Test conditions for sand deposition

Density	Opening width mm	Mesh opening mm	Thickness of strata mm	
HD	3.8	3	30	
LD	7.1	no mesh	70	

After the reconstitution of the first three metres of the sample, the steel plate was positioned on top of the sand bed. The foundation was placed horizontally, and a rough interface (cement and sand) between the steel plate and the sand was created, to properly represent friction.

The vertical pressure due to the self weight of the foundation was about 9 kPa. The vertical pressure due to the upper metre of sand was, on average, 16 kPa.

After the completion of the sample, the process of saturation was started. The water was slowly flushed into the soil from the bottom up to the foundation level by means of a grid of tubes embedded in a bottom gravel layer 110 mm thick, separated from the sand by a geo-textile sheet. The resulting flow was about 700 l/h for the HD specimen and 300 l/h for the LD specimen. De-aired water was used to achieve a better saturation degree. The flow was maintained for three days.

A section parallel to the N-S direction and distant about 80 cm from the West side was instrumented to assess the uniformity of soil density (Fig 2). In particular the following sensors were placed:



Fig 2. Sections of HD and LD samples for the local check of soil density

- 9 minigeophones (waterproofed) to assess density and saturation of the sample by measuring the shear and compression wave velocities (V_s, V_p) .

- 6 thermal and 6 electrical probes for the local check of the sample density.

A check of the sample density was also carried out performing several cone penetration tests (CPT) by means of a standard cone. CPT locations are shown in Fig 3.

Other instruments were placed to measure the applied forces, the horizontal and vertical displacements of the foundation and the stress distribution underneath the foundation. In particular, five pressure cells underneath the foundation, four vertical displacement transducers at the corners of the foundation, two horizontal displacement transducers on the foundation, two load





Fig 3. Location of CPT's

cells for vertical force and one load cell for horizontal force were installed (Fig 1). Moreover, various pressure cells were embedded into the soil for measuring vertical and horizontal stresses.

In the present paper, the sample uniformity checks and the load-settlement data are discussed.

The global soil density was checked by means of seismic tests that were performed at different stages, i.e. after the reconstitution of the first three metres of the sample; after sample completion, after saturation; after the application of static loading and after the application of cyclic loading. The propagation velocity of compression and shear waves was determined by using an array of three geophones. The geophone located at one end of the array was used as a source and the other two as receivers. The time delay (t) between the arrivals of the wave at the two receivers at known distance (L) allowed one to estimate the wave propagation velocity as V=L/t.

Shear wave velocities were estimated at three different locations. Compression wave velocities were determined at three different depths (Fig 2). The soil density or void ratio was computed from the following equations expressing the dependence of the body wave velocity on type of soil, void ratio and applied effective stresses (Lo Presti and O'Neill [18], Roesler [19], Stokoe et al. [20], Bellotti et al. [4]):

$$V_s = C_s \sqrt{F(e)} \cdot (\sigma_a)^n \cdot (\sigma_b)^n \tag{1}$$

$$V_p = C_p \sqrt{F(e)} \cdot (\sigma_a)^n \cdot (\sigma_b)^n$$
(2)

where: (σ'_a) , (σ'_b) = normal effective stresses acting along the direction of wave propagation and that of particle motion respectively; F(e) = void ratio function; C_s and C_p = material constants which depend on the type of soil, anisotropy and on the adopted unit system; n = stress exponent.

In the case of Ticino sand, the following values can be assumed for the parameters of equations (1) and (2) (Bellotti et al. [4], Lo Presti and O'Neill [18]): $C_s = 84$, $C_p = 133$ (these values hold when velocities are in m/s and stresses in kPa); n = 0.115; $F(e) = (2.17 - -e)^2 / (1 + e)$.

The values of relative density obtained from the inversion of eqs (1) and (2) are reported in Fig 4 for LD and HD samples.

The local check of the soil density was performed by means of thermal and electrical conductivity tests (Bellotti et al. [21]). Thermal conductivity tests can be performed in dry and saturated samples, whereas the electrical-resistivity tests can only be performed in saturated samples. The results obtained from thermal conductability tests, in the case of dry samples, are reported in Fig 4. Thermal and electrical measurements, in the case of wet samples, were not successful and are not considered. A possible explanation of this was the fact that the calibration curves for the test interpretation refer to fully saturated soils. It is reasonable to admit that the sand model under consideration did not meet such condition as explained later on.

Three CPT's (Tests No 1, 2 and 3) were performed for the HD sample in dry conditions after sample completion. Three tests (Tests No 4,5 and 6) were performed for the LD sample in dry conditions after sample completion. Other two tests were performed for LD wet sample, after the execution of cyclic loading. The relative density was inferred from the measured point resistance q_c by means of the following equation [22]:

$$D_R = -66.5 + 61.5 \cdot Log_{10}(X) \tag{3}$$

where: $X = (q_c / p_a) / (\sigma'_v / p_a)^{0.52}$ is dimensionless; p_a – atmospheric pressure is expressed in a consistent unit system.

The values of D_R inferred from CPT's are reported in Fig 4.

In the case of HD sample, similar values of D_R were obtained from different experimental methods (seismic tests, CPT's, thermal tests). On average the relative density resulted to be equal to about 85%. In the case of LD sample it was possible to assess that D_R was very low (from 15 to 30%) close to the boundaries and of about 45% in the sample core. CPT's (Tests No 7 and 8) were performed after the execution of cyclic loading and exhibit a certain increase of due to soil compaction especially in the first 50 cm below the model foundation where D_R ranges from 75 to 60%. At a depth of 2 m below the foundation is of about 50%.

Seismic tests were also performed to check the saturation condition of the two samples by comparing the compression wave velocities recorded before and after the soil saturation stage. The measurements show that, after sample saturation, V_p does not approach the

velocity of sound in water (from 1660 to 1700 m/s), as it would be in the case of a fully saturated sand, but decreases with the consolidation stresses. On the basis of these observations, the degree of saturation should be about 90% for both samples (Ishihara et al. [23], Black & Lee [24], Strachan [25]).

The target values for the vertical load were 300 and 100 kN for HD and LD samples respectively. These values represent typical design pressure levels for shallow foundations on sand.

The load was applied to the foundation by linear steps of about 50 kN for dense sand and of about 15 kN for loose sand. After each step, the loading process was stopped up to complete stabilisation of creep deformations. An unload-reload loop was also performed. Fig 5 shows the load-displacement curves. The settlement reported in the Figure is that measured at the end of each loading step for the HD sample. The final vertical settlement experienced by the foundation was of about 7 mm for the HD sample and of about 16 mm for the LD sample.



Fig 4. Relative density inferred from various tests



Fig 5. Load-displacement curves



Fig 5a. w/2D vs. logt.

3. Test interpretation

3.1. Displacements

The observed settlements were compared to those computed using the CPT based approach due to Schmertmann [6] and subsequently refined by Hartman [7].

This approach considers a depth of influence, equal to two times the foundation width *B* for square footing. The soil layer having thickness 2*B* is subdivided in strata of height Δz . The total settlement (*w*) is computed by means of the following expression:

$$w = C_1 \cdot C_2 \cdot \Delta q \cdot \sum \frac{I_z \cdot \Delta z}{E}$$
(4)

where: $\Delta q = q - \sigma'_v$, i.e. net pressure increment (σ'_v , vertical effective stress acting at the foundation depth);

 $C_1 = (1 - 0.5 \sigma'_v / \Delta q)$ is a correction factor that takes into account the embedment depth; $C_2 = (1 + 0.2 \log t / 0.1)$ is the secondary settlement coefficient; I_z is the influence factor that mimics the strain distribution into the soil mass; $E = 2.5 q_c$ is the soil stiffness.

For the HD sample the q_c obtained from test results was used. For the LD sample it was decided to use a cone resistance as obtained from eq. (3) in the case of $D_R = 35\%$. In fact, the q_c from tests 4, 5 and 6 is too low because is referred to a looser zone of the sample, while the q_c from tests 7 and 8 is too high because it was obtained after densification induced by cyclic loading.

The strain factor I_z values suggested by Hartman [7] are sligthly different than those proposed by Schmertmann [6] and result to be dependent on the soil density and embedment depth. For the case under consideration the use of the I_z values suggested by Hartman [7] leads to smaller values of the computed settlement. The computed settlement values reported in the following have been obtained according to Schmertmann [6].

The secondary settlement for the recently deposited sand bed cannot be computed by means of the C_2 factor that assume a primary consolidation time of 0.1 year and a conventional increase of 20% of the consolidation settlement per each log cycle of time exceeding the consolidation time. For the case under consideration the secondary settlement was computed assuming a conventional consolidation time of 2 minutes and using the $C_{\alpha\epsilon}$ values inferred from the long term stage of PLT's in CC. In particular, $C_{\alpha\epsilon}$ is the slope of the interpolating line of the w/2B vs. logt data for each loading step. From the interpretation of the PLT's in CC resulted that 2 minutes were appropriate to define the end of primary settlement (see Fig 5a).

PLT's in CC have been performed by using a deeply embedded rigid circular steel plate of 104 mm in diameter. Load increments of 50 kPa have been applied to the plate. For each loading step, the secondary settlement has been observed during a period variable from 10 to 120 minutes. The $C_{\alpha\varepsilon}$ values are plotted in Fig 6 as a function of the applied load (q). Two



Fig 6. Secondary compression coefficient $C_{\alpha\epsilon}$ from PLT's in CC

different symbols have been used to identify two different classes of relative density. The $C_{\alpha\varepsilon}$ values inferred from the two large scale experiments here described are also shown in Fig 6.

The scatter in the $C_{\alpha\varepsilon}$ values shown in Fig 6 is due to experimental errors and to differences in the mobilised shear stress. In order to reduce the observed scatter the $C_{\alpha\varepsilon}$ values have been replotted as a function of the mobilisation factor q/q_{LIM} (Fig 7). The limit pressure (q_{LIM}) has been computed for the shallow and deep foundations by means of the Brinch Hansen [26] and Berezantsev et al. [27] theories respectively. The angle of shear resistance for the above computations was inferred by means of the Bolton [28] empirical approach. In the case of deep PLT's in CC the limit pressure was also inferred from extrapolation



Fig 7. Secondary compression coefficient $c_{\alpha\varepsilon}$ from PLT's in CC

of the experimental data assuming a hyperbolic law for the pressure-settlement curve. It is possible to see an almost unique trend of the observed $C_{\alpha\epsilon}$ values vs. q/q_{LIM} even though the data from Ispra tests represent an upper bound. Experimental errors and uncertainties of the adopted bearing capacity models may be responsible of the scatter observed in Fig 7.

The secondary settlement was computed considering that any perturbation, that is any load increment, produces additional creep deformations (Tatsuoka et al. 2000 [29]). Computation was therefore done according to the following equation:

$$w_s = 2B \cdot \sum_{l=0}^{n} c_{\alpha \varepsilon} (q / q_{lim}) \cdot \log t / t_c$$
(5)

where: w_s is the secondary settlement, *n* is the number of loading steps, *t* is the duration of each loading step (i.e. the time elapsed since the application of the load increment until the end of the test) and t_c is a conventional primary consolidation time taken equal to 2 minutes after load stabilisation.

The secondary compression coefficient is a function of q/q_{LIM} according to the equations reported in Fig 7.

The immediate and long term settlements for LD and HD samples are shown in Table 2. As far as the measured settlement is concerned, the long term settlement is that occurring after a conventional consolidation time of 2 minutes subsequent to the stabilisation of the applied load.

 Table 2. Comparison between computed and observed settlements

	Computed w(mm)			Measured w(mm)				
	Imme- diate	Long term	Total	Imme- diate	Long term	Total	I ₂	C _i
HD	4.2	4.4	8.6	4.7	2.0	6.7	0.483	0.5
LD	8.2	7.2	15.4	8.3	7.2	15.5	0.483	0.5

Data in Table 2 show a good agreement between computed and observed settlements.

3.2. Stiffness

An operational Young's modulus was computed from load-settlement curves under the hypothesis of homogeneous, isotropic, elastic behaviour. The following equation was used to compute E:

$$w = \frac{q}{E} (1 - v^2) \cdot B \cdot I_w \cdot f(z)$$
 (6)

where: B = footing width; $I_w = 0.7$ is the influence factor for a rigid plinth that depends on the footing shape and compressible layer thickness; f(z)=0.9 takes the foundation embedment into account; v = Poisson's ratio taken equal to 0.2.

The computed values of E were divided by the small strain Young's modulus (E_0) determined by means of the following equation (Hoque et al. [30]):

$$E_o = 1510 \frac{(2.17 - e)^2}{(1 + e)} \sigma_v^{0.53} \cdot p_a^{0.47}$$
(7)

where: e is the void ratio and p_a is a reference pressure.

The E_0 values have been computed by means of equation (7) making reference to the σ'_{1} , existing at the depth of 1 m below the foundation.

Equation (6) was also used to compute the unload-reload Young's modulus E_{UR} from unload-reload loops. In this case, the pressure and displacement (qand w) were replaced by the corresponding relevant increments Dq and Dw. The values of E_{UR} were divided by E_0 . This latter was computed by means of equation (7) assuming $\sigma'_v = 0.5 (\sigma'_A + \sigma'_B)$. The value of σ'_v used, corresponds to the average effective vertical stress existing at a depth of 1 m beneath the footing during the unload-reload loop in Fig 5.

The E/E_0 and E/E_{UR} values as function of w/B are reported in Fig 8.



Fig 8. E/E_0 Dec

The values inferred from the CC PLT's performed in Ticino sand (Ghionna et al. [5]) are also plotted in the same Figure.

The data from PLT's show a certain scatter especially at small strains. This scatter is mainly due to experimental errors. However, different test conditions (number of loading steps, time allotted for each step, etc.) produce different creep settlements, which could be another explanation for the observed scatter. The E / E_0 vs. w/B curves obtained from lspra tests well compare to those inferred from PLT's in CC.

4. Conclusions

The following conclusions can be drawn from the above exposed data:

- the Schmertmann [6] method is capable of providing an excellent prevision of the primary settlement occurring in the freshly deposited Ticino sand.

- the experimentally observed creep settlement is relevant and mainly depend on the number of loading steps and duration of each step.

- the $C_{\alpha\epsilon}$ coefficient mainly depends on the mobilisation factor or inverse of safety factor q/q_{LIM} .

– computation of the secondary settlement by means of empirical approach requires the knowledge of the primary consolidation time, loading history and $C_{\alpha\varepsilon}$ coefficient.

– a similar stiffness decrease (E/E_0 vs. relative settlement) was observed for shallow and deep experiments. In particular, for a relative settlement of 0.1% (i.e. a typical value for well designed foundation on sands) the stiffness is, on average, 50% of the initial value (E_0).

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EKSPLOATUOJAMO SMĖLIO STANDUMO NUSTATYMAS VYKDANT DIDELIO MASTO APKROVOS BANDYMUS ANT ATKURTO SMĖLIO PAGRINDO

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Santrauka

Atlikus du didelio masto bandymus ant kvadratinio standaus pamato siekta nustatyti smėlio standumą. Pamato kraštinė – 1 m. Betoninio kesono, kuriame 1 m gylyje buvo įgilintas ir bandomas atskirasis pamatas, matmenys buvo 4,6×4,6 m (plane), aukštis – 4,05 m. Du Ticino smėlio pavyzdžiai buvo paruošti esant dviem skirtingiems santykiniams tankumams: $D_R \cong 45$ ir 85%. Mažo tankio smėlio bandymo atveju maksimali apkrova buvo apie 100 kPa. Didelio tankio smėlio atveju ji buvo lygi apie 300 kPa.

Šios reikšmės sutampa su sekliųjų pamatų projektuojamomis apkrovų reikšmėmis. Pamatas ant tankaus smėlio pagrindo buvo apkraunamas laipsniškai kas 50 kN jėga, o ant mažo tankio – kas 15 kN jėga. Po kiekvieno žingsnio apkrovimo procesas buvo sustabdomas, kad stabilizuotųsi valkšnumo deformacijos.

Bandymų metu stebėtos nuosėdžių reikšmės buvo palygintos su reikšmėmis, gautomis atlikus nuosėdžių skaičiavimus pagal analizinį metodą, kuris remiasi statinio zondavimo duomenimis. Šis metodas buvo pasiūlytas 1970 m. Schmertmanno.

Schmertmannas suintegravo baigtinio gylio poslinkių prieaugių skaičių ir įtraukė: C_1 – pamato įgilinimo faktorių; C_2 – laiko efekto faktorių. Deformacijų įtakos faktoriaus funkcinė forma buvo nustatyta remiantis baigtinių elementų metodo analize. Suminiai nuosėdžiai buvo skaičiuojami pagal (4) formulę.

Schmertmanno metodas neįvertino galutinio nuosėdžio. Pagrindinis trūkumas – (4) lygtis buvo taikoma neįvertinus valkšnumo deformacijų, kurios ypač akivaizdžios atliekant mažo santykinio tankumo smėlio bandymą.

Antrinis nuosėdis šviežiai supiltam ir atkurtam smėliui negali būti skaičiuojamas pagal C_2 koeficiento išraišką. Laikoma, kad pirminės konsolidacijos laikas yra 0,1 metų ir konsolidacijos nuosėdis išauga 20% kiekviename laiko logaritminės skalės cikle, viršydamas konsolidacijos laiką. Atsižvelgiant į tai, antrinis nuosėdis buvo skaičiuojamas imant pirminės konsolidacijos pabaigos laiką, lygų 2 min, ir antrinės deformacijos koeficiento $C_{\alpha\varepsilon}$ reikšmes, gautas iš didelės trukmės plokštės apkrovos testų, atliktų kalibravimo kameroje (CC).

 $C_{\alpha\varepsilon}$ yra interpoliacinės linijos kampas tarp w/2B ir log(t) kiekviename apkrovimo žingsnyje.

Plokštės apkrovos testai kalibravimo kameroje buvo atliekami naudojant giliai įtvirtintą, standžią, apvalią plieninę 104 mm skersmens plokštę.

50 kPa apkrovos prieaugis buvo uždedamas ant plokštės. Kiekviename apkrovimo žingsnyje antrinis nuosėdis buvo tarp 10 ir 120 min. $C_{\alpha\epsilon}$ reikšmės, kaip apkrovos funkcija, yra pavaizduotos 6 pav.

 $C_{\alpha\epsilon}$ reikšmių išsibarstymas yra dėl eksperimento metu atsiradusių paklaidų ir dėl skirtingos tangentinių įtempimų mobilizacijos. Norint sumažinti išsibarstymą, $C_{\alpha\epsilon}$ reikšmės buvo perskaičiuotos kaip mobilizacijos faktoriaus q/q_{LM} funkcija.

Ribinė apkrova q_{LM} sekliesiams pamatams buvo skaičiuojama pagal J. Brincho Hanseno [26] teoriją. Giliesiems pamatams buvo taikoma V. G. Berezantsevo [27] teorija. Grunto vidinės trinties kampams apskaičiuoti buvo taikomas M. D. Boltono [28] empirinis metodas. Ribinė apkrova apvalios plokštės apkrovimo bandymuose (CC) buvo nustatyta interpoliuojant eksperimentinius duomenis, apkrovos – nuosėdžių kreivės pasiskirstymui taikant hiperbolinį dėsnį. 7 pav. galima pastebėti beveik dėsningą duomenų pasiskirstymą gautoms $C_{\alpha\epsilon}$ su q/q_{LIM} reikšmėmis. Duomenys iš sekliojo pamato testų taip pat rodo suartėjimą. Dėl eksperimentų metu atsiradusių paklaidų ir įdiegtų ribinės apkrovos nustatymo modelių 7 pav. matyti duomenų išsibarstymas.

Antrinis poslinkis buvo skaičiuojamas laikant, kad kiekvienas apkrovos prieaugis po kiekvienos valkšnumo fazės sukelia papildomą valkšnumo deformaciją. Todėl skaičiavimas buvo atliekamas pagal (5) lygtį. Pirminiai ir antriniai nuosėdžiai skirtingų tankių smėliams pateikti 2 lentelėje, iš kurios matyti geras sutapimas tarp stebėtų ir apskaičiuotų nuosėdžių.

Tamprumo modulis buvo apskaičiuotas iš apkrovos – nuosėdžių kreivės, laikantis hipotezės, kad gruntas yra homogeninis, izotropinis, tamprus. Apskaičiuotos tamprumo modulio reikšmės buvo padalytos iš mažų deformacijų srities Jango modulio E_0 , kuris buvo nustatytas pagal (7) lygtį. Pagal (6) lygtį buvo nustatytas nukrovimo-apkrovimo tamprumo modulis iš nukrovimo-apkrovimo eksperimentinės apkrovos – nuosėdžių grandinės. E/E_0 ir E/E_{UR} reikšmės yra pavaizduotos 8 pav. kaip w/B funkcija. 8 pav. pavaizduotos ir nustatytos tamprumo modulio reikšmės iš kalibravimo kameros testų, kurios rodo tam tikrą išsibarstymą, ypač mažų deformacijų srityje. Skirtingos testų sąlygos (apkrovimo žingsnių skaičius, kiekvieno apkrovimo žingsnio stabilizacijos laikas ir t. t.) sukėlė skirtingus valkšnumo deformacijos nuosėdžius. Tuo galima paaiškinti atsiradusį išsibarstymą.

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